PTO-1390 (Rev. 07-2005)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 016894-03301 DESIGNATED/ELECTED OFFICE (DO/EO/US) APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE RIORITY DATE CLAIMED PCT/IB2005/000662 15 March 2005 (15.03.05) 15 March 2004 (15.03.04) TITLE OF INVENTION THIN FILM FERROELECTRIC MICROWAVE COMPONENTS AND DEVICES ON FLEXIBLE METAL FOIL **SUBSTRATES** APPLICANT(S) FOR DO/EO/US HIRMER, Gerhard; ZOU, Qin; XU, Edward and XING, George Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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A power of attorney and/or change of address letter.



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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 016894-03301 PCT/IB2005/000662 (1) International Search Report (ISA-210); 20. Other items or information: (2) Written Opinion; and (3) Credit Card Form PTO 2038. The following fees have been submitted CALCULATIONS PTO USE ONLY 21. Basic national fee (37 CFR 1.492(a))......\$300 300 22. X Examination fee (37 CFR 1.492(c)) \$ If the written opinion prepared by ISA/US or the international preliminary examination report prepared All other situations \$200 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by S International Searching Authority.....\$100
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations..... 300 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 15 0 - 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ 130 after the date of commencement of the national stage (37 CFR 1.492(h)). CLAIMS NUMBER EXTRA NUMBER FILED RATE \$ Total claims - 20 = 21 \$ 50 \$ 1 50 Independent claims - 3 = \$200 \$ 3 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ \$360 **TOTAL OF ABOVE CALCULATIONS =** \$ 480 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 240 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 240 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 240 Amount to be \$ refunded: Amount to be \$ 240 charged

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT COOPERATION TREATY RECEIVING OFFICE

In re Applicant/Patentee:

HIRMER, Gerhardt; ZOU, Qin;
XU, Edward; and XING, George

Serial No.: New Application
Filed: September 15 2006

For: Thin Film Ferroelectric Microwave
COMPONENTS AND DEVICES ON FLEXIBLE
METAL FOIL SUBSTRATES

SNATIONAL PHASE APPLICATION
UNDER 35 U.S.C. 371

String National Phase Application
String National Phase Application
Attorneys Docket No. 016894-03301

CERTIFICATE OF MAILING BY EXPRESS MAIL

MAIL STOP PCT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. EV 912033907 US in an envelope addressed to: MAIL STOP PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

- (1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 Form PTO-1390 (3 pgs.)
- (2) Certificate of Mailing Under 37 C.F.R. 1.10 (1 pg.);
- (3) Credit Card Payment Form (PTO-2038) (1 pg.);
- (4) Application Data Sheet (3 pgs.);
- (5) Application as filed (12 pgs.);
- (6) Formal drawings 3 sheets;
- (7) International Published PCT Application WO 2005/083726 A1 (12 pgs.);
- (8) Second copy published International Application;
- (9) International Search Report (4 pgs.);
- (10) Written Opinion (8 pgs.) and
- (11) Return receipt postcard.

Date: September 15, 2006

John Wilson Jones Reg. No. 31,380

Respectfully submitted,

JONES & SMITH, LLP 2777 ALLEN PARKWAY, SUITE 800 HOUSTON, TEXAS 77019-2129 (713) 528-3100 (713) 893-6076 FAX

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